

# AI in GRC: Promise, Pitfalls, and a Practical Path Forward

AI Governance Series

# Executive Summary

Artificial intelligence (AI) is changing how security, risk, and compliance get done. Most GRC teams still spend long hours gathering evidence, rewriting control narratives, cross-mapping frameworks, and chasing overdue actions. AI will not replace the judgment professionals bring to assurance. It can, however, remove friction—summarizing evidence, highlighting gaps, and keeping controls under closer watch—when it is wrapped in clear governance and human review.

This whitepaper explains where AI creates real value in GRC today, where it can mislead you, and how to build a safe, governed path to adoption.

## Our practical stance

- Use AI to draft and summarize—uplifting manual tasks—while keeping human-in-the-loop (HITL) approvals for key decisions.
- Start with narrow, high-volume tasks (evidence intake, regulatory-change triage, cross-framework mapping).
- Wrap everything in clear governance: data handling, model boundaries, reviews, and audit trails.

## Product boundaries & scope note

SureCloud currently offers targeted AI features for assessment and evidence summarization. We do not claim autonomous control testing or automated pass/fail decisions. Any advanced capabilities described are patterns you can implement with appropriate tools and guardrails, not implied product claims.

# What You Will Learn

- Where AI meaningfully reduces manual effort in GRC.
- A step-by-step adoption roadmap (crawl → walk → run) with measurable outcomes.
- Risks to manage: hallucinations, biased output, over-automation, and data leakage.
- Governance controls that make AI auditable and trustworthy.
- A buyer's checklist to evaluate AI-enabled GRC solutions.

## Who this is for

CISOs, CROs, Heads of Risk and Compliance, Internal Audit leaders, privacy teams, and general practitioners across security, risk, and compliance who want a sober view of AI's strengths and limits.

## Disclaimer

This whitepaper is informational and does not constitute a commitment to deliver specific features. Examples are illustrative; outcomes depend on baseline maturity and scope. Process only approved, non-sensitive data with authorized tools and retain human in the loop approval (HITL) for decisions affecting risk ratings, control pass/fail, and policies.

# Why Now

Against a backdrop of heightened regulatory scrutiny—both generally and on AI itself, organizations are rapidly adopting AI for tasks like evidence handling, regulatory-change triage, and risk analysis. Many teams are overstretched and reactive. With fines and reputational stakes high, the challenge is to harness AI to scale assurance while managing the risks of using AI.

Equally, there's an education gap: most teams hear “AI” everywhere but lack a shared, practical definition of what it means for day-to-day GRC work. This paper cuts through noise and regulatory pressure to explain where AI truly helps, where it doesn't, and how to adopt it safely—with humans in control.

In practice, this means program leaders can set clear guardrails and see measurable cycle-time reductions; control owners and analysts get AI-assisted drafting and evidence summarization that cut manual rework while preserving reviewer sign-off; audit and risk partners gain traceable citations and audit trails that strengthen assurance; and buyers evaluating GRC tools can prioritize governance, human-in-the-loop workflows, and demonstrable outcomes over model hype.

## Understanding the AI toolbox

**NLP (Natural Language Processing):** Extracts entities, classifies documents, redacts sensitive fields.

**Machine Learning (ML):** Classifies, clusters, or predicts (e.g., anomaly detection).

**Generative AI (LLMs):** Drafts summaries and first-pass narratives based on prompts.

**Retrieval-Augmented Generation (RAG):** Grounds model outputs in your curated library with citations.

**Agentic AI:** Orchestrates multi-step tasks (retrieve → transform → check → draft) within strict boundaries.

**Explainability (XAI):** Shows why a model produced an output; useful for auditability.

**Human-in-the-Loop (HITL):** Human approval at defined decision points; required for changes to risk ratings, control pass/fail, or policy updates.

# AI: Help vs. Harm—What to Welcome, What to Guard

## Where AI helps (today)

- Reduces toil in evidence handling, narrative drafting, and cross-framework mapping.
- Triage regulatory change faster with curated sources and traceable summaries.
- Standardizes reviewer workflows, improving first-pass acceptance.

## Where AI can harm (if unmanaged)

- Data leakage and policy drift from unapproved tools or prompts.
- Biased or overconfident outputs that bypass reviewer scrutiny.
- Over-automation that removes HITL approvals or creates auditability gaps.
- Shadow AI introducing unvetted prompts/models into core processes.

## Controls that neutralize the harms (mapped to Governance section)

- Data minimization, redaction, residency controls; role-based access; time-bound retention.
- Grounding (RAG) over a curated library with citations; prompt/version governance; reviewer training with rejection criteria.
- HITL gates for risk ratings, pass/fail, and policy changes; full logging (prompt, context, model, decision, timestamp).
- Exception workflows with expiry; monitoring for shadow-AI usage; periodic drift/bias testing.

# High-Value AI Use Cases (with Guardrails and Metrics)

Each use case lists value, guardrails, and success measures. Insert these where you can baseline and measure impact in 60–90 days.

## 1. Evidence intake & summarization

- What it does: Extracts key facts (control IDs, dates, owners) and drafts concise summaries with links to source artifacts.
- Value: Cuts review time, reduces rework, improves consistency.
- Guardrails: Human sign-off required; retain the source artifact and hash; enforce redaction for PII/PHI; every summary must include a stable artifact ID and retention path.
- Metrics: % reduction in review time; % summaries approved without rework; defect rate.
- Where SureCloud fits: Targeted AI features summarize assessments and reduce manual document review; reviewer workflows keep humans in control.

## 2. Cross-framework mapping & common controls

- What it does: Suggests relationships across ISO 27001/27002, SOC 2, NIST CSF, GDPR, etc., and drafts normalized “common control” statements.
- Value: Removes duplication and speeds multi-framework reporting.
- Guardrails: Treat as suggestions; require provenance and owner approval; re-review after framework updates.
- Metrics: % of controls mapped to a common control; time saved on multi-framework reports; duplicate tasks eliminated.
- Where SureCloud fits: SureCloud’s controls framework supports cross-standard mapping and automates evidence collection; AI drafts proposed statements that reviewers approve, with control owners making final decisions.

### 3. Regulatory-change triage

- What it does: Monitors trusted sources, summarizes changes, compares to current policies, and flags gaps.
- Value: Reduces scramble and improves the audit trail of decisions.
- Guardrails: Curate sources; require legal/privacy review; log each change and rationale.
- Metrics: Mean time from publication to assessment; % changes actioned within SLA; % policy updates traced to changes.
- Industry nods: Healthcare—patient-safety and data-protection standards; Financial Services—prudential/payment-regulatory notices.

### 4. Control narrative drafting & refresh

- What it does: Drafts “how it works here” using your policies and system notes.
- Value: Faster updates; consistent language; easier auditor hand-offs.
- Guardrails: RAG over approved corpus only; mandatory SME review; version control.
- Metrics: Time to update a narrative; first-pass approval rate; reviewer satisfaction.

### 5. Vendor due diligence summaries

- What it does: Reads questionnaires, SOC 2 reports, and contract clauses; drafts a short risk summary and remediation asks.
- Value: Shortens onboarding and standardizes reviews.
- Guardrails: Keep and cite source docs; flag missing evidence; tier reviews for high-risk vendors.
- Metrics: Time from receipt to decision; % high-risk gaps identified and tracked; follow-ups reduced.

### 6. Risk register normalization & thematic analysis

- What it does: Consolidates risks and highlights recurring drivers to guide action.
- Value: Clearer executive reporting; less noise.
- Guardrails: Require traceable sources; document assumptions; avoid automated risk re-scoring without review.
- Metrics: Duplicate risks reduced; time to executive report; corrective-action closure rate.

# Where the Biggest Value Will Arise (Next 12–24 Months)

1. **Evidence summarization & audit workpaper prep** — high volume, measurable cycle-time gains; improves handoffs to auditors.

**KPIs:** review time ↓, rework ↓, first-pass acceptance ↑.

2. **Cross-framework mapping & common controls** — fewer duplicate tasks, clearer reporting to customers and regulators.

**KPIs:** % mapped to common controls; duplicate tasks eliminated.

3. **Regulatory-change triage integrated with policy updates** — faster assessments with traceable decisions; creates a durable change log.

**KPIs:** mean time from publication to assessment; % actioned within SLA; policy updates traced.

4. **Vendor risk triage & contract-clause extraction** — consistent summaries and remediation asks; faster onboarding.

**KPIs:** time to decision; % high-risk gaps identified; follow-ups reduced.



# Adoption Roadmap: Crawl → Walk → Run

A phased roadmap delivers quick wins, manages change, and matures governance without risking control failures.

## Crawl (0–90 days): Prove value, build trust

- **Focus:** Low-risk, high-volume tasks with strict human review.
- **Use Cases:** Evidence summarization; control-narrative drafting (RAG); vendor due-diligence summaries for low/medium tiers.
- **Foundations:** Curated content library; review workflows; logging with citations.
- **Success:** Review time ↓; acceptance rate ↑; defect rate within tolerance.
- **Pitfalls:** Unvetted content; bypassing approvals; no baselines.

## Walk (90–180 days): Expand coverage, add monitoring

- **Focus:** Cross-framework work and measured automation.
- **Use Cases:** Common-control mapping; regulatory-change triage.
- **Enablement:** RAG library with metadata; standardized exception handling; model-evaluation cadence.
- **Success:** % mapped to common controls ↑; mean time from regulation to assessment ↓; MTTD ↓.
- **Pitfalls:** Treating mapping suggestions as final; piloting noisy signals; agentic workflows before approvals are tight.

## Run (180–365+ days): Scale with confidence

- **Focus:** Mature governance and introduce agentic orchestration where safe.
- **Use Cases:** Agentic triage; quarterly AI-augmented reporting with “next-best actions.”
- **Governance:** Model inventory and change control; prompt/version governance; vendor-AI oversight.
- **Success:** audit-prep hours ↓; % regulatory changes addressed within SLA ↑.
- **Anti-patterns:** Shadow AI, no decommission plan, over-automation that removes human judgment.

Phase	Timelines	Focus	Example Use Case	Key Guardrails	Success Metrics
Crawl	0–90 days	Low-risk pilots	Evidence summarization, vendor summaries	HITL, citations	Review time ↓
Walk	90–180 days	Cross-framework, monitoring	Common controls, regulatory triage	Exception handling	SLA compliance ↑
Run	180–365+	Orchestration	Agentic workflows	Model governance	Audit prep hours ↓

# Governance: Controls Around the Controls

Treat every AI-assisted process like a control: defined, owned, tested, monitored, auditable.

## Policy stack

- AI Use Policy
- Prompt & Review Standard
- Model Governance Standard
- Third-Party AI Policy
- Secure Development & MLOps

## Roles & responsibilities (RACI)

- **Executive sponsor** — sets objectives and removes blockers.
- **Product owner (AI in GRC)** — manages the backlog, prioritizes use cases, coordinates domains.
- **Domain reviewers (audit, risk, privacy/security)** — approve outputs and own quality.
- **Data steward** — curates the knowledge base (RAG), sets metadata, cleans stale content.
- **Platform admin** — manages access, logging, and change control.
- **Internal audit** — independently tests design and operating effectiveness of AI-assisted processes.

# Organizational Change & Skills: Making People the Advantage

**Operating model:** Executive sponsor → Product owner (AI in GRC) → Domain reviewers → Data steward → Platform admin → Internal audit.

**Skills:** Prompting for auditors/risk managers; reviewer discipline; content curation; metrics & analysis; vendor governance.

**Change plan:** Start with pilots; communicate scope and guardrails; publish early wins; codify learnings in playbooks; scale where signal is strong. Limit each pilot to ≤2 new prompts per reviewer per week; publish a weekly “what changed” note.

**Incentives:** Tie OKRs to rework ↓ and acceptance ↑; make dashboards visible; treat AI usage as craft, not just velocity.

**Communications:** Audience mapping; message themes (governance first, HITL, quality bar, personal benefits); cadence (kickoff, bi-weekly updates, quarterly exec review).

# Legal & Regulatory Landscape: Using AI Responsibly

Start with obligations you already know (e.g., GDPR, ISO/IEC 27001, sector guidance), then layer AI-specific governance.

## Reference points (high level)

- EU/UK privacy law (lawful basis, minimization, transparency, rights handling)
- EU/UK/US AI risk-management principles (documentation, human oversight, testing)
- Standards you can adopt: ISO/IEC 42001 (AI management), ISO/IEC 23894 (AI risk), SOC 2

## Status notes

- NIST AI RMF 1.0 (January 2023), voluntary framework with Govern–Map–Measure–Manage functions
- ISO/IEC 23894:2023, guidance for AI risk management.
- ISO/IEC 42001:2023, requirements for an AI management system (AIMS).
- EU AI Act: entered into force Aug 1, 2024; prohibitions & AI-literacy obligations from Feb 2, 2025; GPAI & governance from Aug 2, 2025; general application Aug 2, 2026; certain high-risk systems (embedded into regulated products) by Aug 2, 2027.

**Where SureCloud fits:** Use SureCloud to maintain policy, control, and evidence libraries, route reviews, and keep audit trails for AI-assisted work.

# Measurement & Value Realization: Proving It Works

This section gives you a simple, repeatable way to show impact without over-claiming. It focuses on four outcomes and a five-step workflow you can run every month.

## The four outcomes to improve

- **Speed** — reduction in evidence review and control-drafting time.
- **Quality** — reduction in rework and documentation-related findings.
- **Risk posture** — shorter MTTD/MTTR for control breaks and priority issues.
- **Adoption** — % of eligible workflows using AI assist with human sign-off

## Baseline before switching anything on

Median review time per artifact; hours per audit workpaper; rework rate; finding density; MTTD/MTTR; number of controls with active monitoring.

## Monthly operational KPIs

Throughput & cycle time; rework % and defect types; first-pass acceptance; drift events and overdue remediations; % of priority controls with defined monitoring signals and a documented review cadence; common-control coverage; number of approved narratives; reviewer adherence; shadow-AI incidents prevented; cost-to-serve.

## Attribution without wishful thinking

A/B the work; tag AI-assisted artifacts; normalize for seasonality; control for library quality; log reviewer overrides and reasons.

## Executive reporting that lands

A one-page scorecard (targets vs. actuals), top wins/risks with actions, and a before/after exhibit of a single control package with timestamps, citations, and reviewer notes.

**Where SureCloud fits:** Platform dashboards and activity logs help populate KPIs, and targeted AI assistance lowers document-review effort.

# Buyer's Guide & RFP Checklist: Choosing AI You Can Trust

**Note:** This checklist is a general evaluation aid; it is not a statement of current SureCloud capabilities unless explicitly labeled “Where SureCloud fits.”

## ☐ A. Core capabilities (must-haves)

Human-in-the-loop workflows; citations and end-to-end traceability; grounding/context management for trusted sources; prompt/change control; full usage logging and audit trails; integrations (identity, ITSM, cloud, code, vulnerability management platforms, data-protection tools).

## ☐ B. Security & privacy

Data isolation, encryption, regional processing; redaction/masking controls; model transparency; third-party assurances (e.g., SOC 2, ISO 27001); secure SDLC and pen-test cadence.

## ☐ C. Governance & risk

Model inventory with risk tiers; pre-deployment testing artifacts; bias/hallucination testing and drift monitoring; DPIA/PIA support; vendor transparency on training data and IP posture.

## ☐ D. Ease of use & adoption

Reviewer UX (inline edits, quick accept/decline with reasons); admin UX (prompts as config; rollback; sandbox); time to first value; enablement materials.

## ☐ E. Proof to ask for

Live demo on your redacted artifacts with citations and reviewer flow; before/after metrics from similar customers; reference calls with GRC leaders; clear roadmap for integrations and governance.

## ☐ F. Commercials & TCO

Pricing unit (users, volume, documents, controls, signals); included vs. paid add-ons; services required to go live.

**Where SureCloud fits:** Focus demos on AI-assisted assessment summaries with citations, reviewer workflows, and audit trails; confirm data-residency and retention meet your policies.

## Capability chooser

Your job	Use	Why this	Human approval?
Normalize/classify evidence (tag docs, extract dates/owners, spot PII)	<b>NLP/ML</b>	Deterministic, testable, cheap at scale	Only for exceptions
Draft summaries/narratives (“how it works here,” vendor write-ups)	<b>GenAI + RAG</b> (grounded in your library)	Fast first drafts with citations you can verify	<b>Yes</b> before anything is final
Detect control drift (MFA coverage, backup success, vuln SLA)	<b>ML anomaly detection</b>	Learns your baseline and flags unusual change early	Human triage of alerts
Automate repetitive checks (fetch → compare → draft → handoff)	<b>Agentic workflow with guardrails</b>	Reduces manual routing; each step logged	<b>Yes</b> before status changes
“Where is this control defined?” (exact clause/page)	<b>RAG search</b> over curated docs	Returns precise, citable references	Optional reviewer check

# What SureCloud Has Done About AI So Far (and What's Next)

Both SureCloud Enterprise and Foundations include targeted AI capabilities that draft and summarize assessment content with source citations and mandatory reviewer sign-off. They also support cross-framework mapping and regulatory-change triage with full audit trails (prompts, context, reviewers, decisions). Dashboards and activity logs help teams track throughput, rework, first-pass acceptance, and reviewer adherence.

The next step focuses on delivering personalized, environment-aware improvement and mitigation recommendations—within clear governance and HITL boundaries.

## Trends we expect

- Fewer dashboards, more decisions: “explain, cite, recommend” experiences with stronger traceability.
- RAG everywhere: the quality of your private library and governance will differentiate performance.
- Agentic patterns—but gated: small, well-scoped agents for admin work; human approval remains.
- Model choice normalizes: guardrails, prompts, and reviewer workflows matter more than a single model’s benchmark score.
- Trusted proof is currency: “Here is the trace” beats “trust us.”

## Where SureCloud is focus

Using targeted AI to cut documentation and evidence-review effort while preserving human approval and traceability; strengthening the governance spine (mappings, workflows, audit trails, metrics).

“We’re using AI to transform how teams experience governance, risk, and compliance. By connecting the numerous data points that exist across the GRC ecosystem, our platform creates a living context around every decision. This allows AI to surface context-rich insights, automate routine actions, and remove the operational friction that holds organizations back. The result is a smarter, more connected GRC experience where context and intelligence drive assurance and efficiency.”

Matt Davies - Chief Product Officer (CPO), SureCloud

# Conclusion & Next Steps

Understanding the types of AI—and where they do and don't apply in GRC—helps leadership do two things at once: adopt AI safely with clear guardrails, and evaluate which GRC solutions actually move the needle. Use the buyer's checklist to test for governance, citations, and human-in-the-loop workflows—not just model claims.

**What we've learned:** AI can make GRC faster, more consistent, and more proactive—if it's governed like any high-impact system. The winning pattern: strong governance, clear scope, trusted data, and human review where it counts.

## A 90-day path you can start now

- Pick three low-risk, high-pain use cases (evidence summarization, control narratives, assessment synthesis).
- Stand up guardrails (HITL, citations, prompt governance, logging).
- Measure the work (cycle time, rework, acceptance) and show progress every two weeks.
- Scale selectively to adjacent controls and teams once you have proof.

## What good looks like at six months

A living, versioned content library; trained reviewers and prompt patterns; an executive scorecard showing time saved, quality up, and risk reduced.

## How SureCloud can help

SureCloud provides the platform to manage controls, policies, evidence, workflows, and audits. Targeted AI capabilities reduce manual effort in assessments and document review while preserving traceability and human approval.



### See it in context

Request a guided walkthrough of SureCloud's AI-assisted assessments.



# Notes & References

**Citation format:** Title — publication/owner, document number (if any), date. Live link + access date.

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## About SureCloud

SureCloud is a provider of GRC, cyber risk, and data privacy solutions used by organizations to manage controls, policies, evidence, workflows, and audits. With targeted AI capabilities, SureCloud helps teams work faster while strengthening assurance and auditability.

# Appendices

## Appendix A — Governance Principles for AI in GRC (Reader Template)

### Purpose

Establish governance so efficiency gains from AI do not compromise assurance, compliance, or auditability.

### Scope of use

AI assistance for evidence intake/summarization, control-narrative drafting, cross-framework mapping, regulatory-change triage, and vendor-risk summaries.

### Principles

1. Human-in-the-loop (HITL): Human approval for risk ratings, control pass/fail, and policy changes.
2. Provenance & citation: Outputs are grounded in approved sources; cite document ID and section/page where practicable.
3. Least data: Process only necessary data; apply masking/redaction to sensitive fields.
4. Reversibility: No “silent automation.” Any status-changing step requires explicit human approval and supports rollback.
5. Separation of duties: Distinct roles for configuration, operation, review, and audit.
6. Versioning & change control: Models, prompts, and workflows are versioned and released through formal change.
7. Auditability: Log prompts, context, model/version, citations, reviewer, decision, timestamp, and override reason.
8. Quality before scale: Pilot, measure acceptance/rework and signal noise, then expand.

## Roles & responsibilities (RACI)

Role	Define	Approve	Operate	Monitor	Evidence
Monitor	A	A			
Process owner (AI in GRC)	R		A	R	R
Domain reviewers (Risk, Audit, Privacy/Security)	C	A	A	R	A
Data steward (knowledge base / RAG)	R		A	R	R
Platform administrator	C		A	R	R
Internal audit	C	C		A	A

## Process controls (apply to each AI-assisted workflow)

- Defined inputs and approved corpus
- Output quality gates (scope, citation accuracy, formatting)
- HITL approvals and standardized rejection reasons
- Exception handling with expiry and compensating controls
- Rollback procedures
- Records retention aligned to legal/regulatory requirements.

## Data & security

- Encryption in transit/at rest
- Role-based access and secrets management
- Regional processing consistent with policy
- Third-party disclosures and DPAs
- SIEM/SOAR logging and incident-response integration.

## Measurement & reporting

Track KPIs in Appendix E (cycle time, first-pass acceptance, rework, MTTD/MTTR, coverage). Report monthly with targets vs. actuals and logged exceptions.

## Review cadence

Monthly operating review → Quarterly governance council → Annual policy review → Ad-hoc on incident/model/provider change.

## Appendix B – Regulatory & Standards Crosswalk (Reader Reference)

Topic	EU AI Act (high level)	ISO/IEC 42001 (AIMS)	ISO/IEC 23894 (AI risk)	NIST AI RMF	Audit note
Human oversight	Oversight & human control for high-risk AI	Organizational & operational controls	Risk treatment planning	Govern / Manage	Document HITL decision points
Data quality & accuracy	Data & data-governance obligations	Operational planning & support	Data quality & bias guidance	Map / Measure	Keep input/output test records
Logging & documentation	Technical documentation & logs	Documentation & records	Process evidence	Govern	Preserve prompts, citations, decisions
Post-market monitoring	Incident & corrective-action duties	Performance monitoring	Monitoring & review	Manage	Track drift and corrective actions
Transparency	User information & labeling (context-dependent)	Communication requirements	Stakeholder communication	Govern	Record notices provided and scope limits

(See References for the cited frameworks and law.)

## Appendix C – Executive Scorecard (Example Layout)

KPI	Target	Actual	YTD trend	Notes
Evidence review cycle time				
First-pass acceptance				
Rework rate				
MTTD / MTTR	/	/		
Priority controls with active monitoring signals				
Alerts resolved within SLA				

Use one page, add top wins/risks (three bullets each), and include a single before/after exhibit for a control package with timestamps and citations.

## Appendix D – KPI Glossary (Definitions & Formulas)

KPI	Definition	Formula	Frequency
Evidence review cycle time	Time from evidence submission to reviewer approval	$\text{median}(\text{approved\_at} - \text{submitted\_at})$	Weekly
First-pass acceptance	Share of AI-assisted artifacts approved without rework	$\text{approvals\_without\_rework} \div \text{total\_ai\_assisted}$	Weekly
Rework rate	Share of artifacts returned for changes	$\text{rework\_count} \div \text{total\_artifacts}$	Weekly
Documentation finding density	Documentation-related findings per audit/sample	$\text{doc\_findings} \div \text{audits\_or\_samples}$	Quarterly
Common-control coverage	Share of in-scope controls mapped to a common library	$\text{mapped\_controls} \div \text{in\_scope\_controls}$	Monthly
Priority controls with active monitoring signals	Share of priority controls actively monitored	$\text{controls\_with\_active\_signals} \div \text{priority\_controls}$	Monthly
MTTD (control drift/alerts)	Mean time to detect control drift	$\text{avg}(\text{detected\_at} - \text{occurred\_at})$	Monthly
MTTR (control drift/alerts)	Mean time to resolve control drift	$\text{avg}(\text{resolved\_at} - \text{detected\_at})$	Monthly
Alerts resolved within SLA	Share of alerts closed within agreed SLA	$\text{closed\_within\_SLA} \div \text{total\_alerts}$	Monthly

### Notes

- Use medians for time metrics to reduce outlier bias.
- Tag AI-assisted work to enable cohort comparisons.
- Record reviewer override reasons to guide prompt or corpus improvements.

## Appendix E – Evidence & Citation Style (Audit Reference)

- **Citations:** Include artifact ID and a stable location (page, section, anchor).
- **Provenance:** Summaries must trace only to approved sources; avoid secondary interpretations.
- **Reproducibility:** Another reviewer should recreate the answer from cited sources alone.
- **Formatting:** Use consistent in-line bracket style ([ART-123 §4.2]) or footnote style.
- **Forbidden:** Unsupported claims; screenshots without IDs; mutable links without version/hash; unlogged edits post-approval.

For more information on how SureCloud can assist your organization, visit us online at [www.surecloud.com](http://www.surecloud.com), or email [sales@surecloud.com](mailto:sales@surecloud.com)

#### **About SureCloud**

Since its founding in 2006, SureCloud Ltd. has two decades of experience as a leading provider of Governance, Risk, and Compliance (GRC) solutions. Headquartered in the UK, with offices in the US, SureCloud supports a global portfolio of organizations with its holistic and intelligent GRC platform. Whether addressing cyber risk, data privacy, third parties, or compliance demands, SureCloud has a proven record of empowering organizations to continuously identify, manage and automate their risk and regulatory alignment.